

FOR UTILITY/DESIGN  
CIP/PCT NATIONAL/PLANT  
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL  
DECLARATIONS

RULE 63 (37 C.F.R. 1.56)  
DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION

PW  
FORM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED EXPRESSION OF BACTERIAL SIGNAL MOLECULES IN PLANTS

the specification of which (CHECK applicable BOX(ES))

X  
BOX(ES) → A. ☒ is attached hereto:  
→ B. ☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_ /  
→ C. ☒ was filed as PCT International Application No. PCT/ GB99/02652 on August 12, 1999

and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

**PRIOR FOREIGN APPLICATION(S)**

Number	Country	Day/MONTH/Year Filed	Date first Laid-open or Published	Date Patented or Granted	Priority NOT Claimed
9817707.4	Great Britain	13 AUG 1998			

**If more prior foreign applications, X box at bottom and continue on attached page.**

Except as noted below, I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and/or 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

**PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)**

Application No. (series code/serial no.)	Day/MONTH/Year Filed	Status	Priority NOT Claimed
PCT/GB99/02652	12 AUG 1999	pending, abandoned, patented pending	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, telephone number (202) 861-3000 (to whom all communications are to be directed), and persons of that firm who are associated with USPTO Customer No. 909 (see below label) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete from that Customer No. names of persons no longer with their firm, to add new persons of their Firm to that Customer No., and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above Firm and/or an attorney of that Firm in writing to the contrary.



00909

(1) INVENTOR'S SIGNATURE: Rupert George Fray

Date: 27th February 2001

Name	<u>Rupert</u>	<u>George</u>	<u>FRAY</u>
First	Middle Initial	Family Name	
Residence	<u>Loughborough</u>	<u>Great Britain</u>	<u>GBX</u>
City	State/Foreign Country	Country of Citizenship	
Mailing Address	<u>Division of Plant Science, School of Biological Sciences, University of Nottingham, Sutton Bonington Campus, Loughborough</u>		
(include Zip Code)	<u>LE12 5RD</u>		

(2) INVENTOR'S SIGNATURE:

Date:

Name	<u>John</u>	<u>Peter</u>	<u>THROUP</u>
First	Middle Initial	Family Name	
Residence	<u>Collegeville</u>	<u>PA</u>	<u>Great Britain</u>
City	State/Foreign Country	Country of Citizenship	
Mailing Address	<u>Smith Kline Beecham, Pharmaceuticals R&amp;D, UP1345 South Collegeville Road., PO Box 5089, Collegeville, PA, USA</u>		
(include Zip Code)	<u>19426</u>		

☒ FOR ADDITIONAL INVENTORS see attached page.

☐ See additional foreign priorities on attached page (incorporated herein by reference).

Atty. Dkt. No. P

(M#)

FOR UTILITY/DESIGN  
CIP/PCT NATIONAL/PLANT  
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL  
DECLARATIONS

RULE 63 (37 C.F.R. 1.104)  
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FOR PATENT APPLICATION

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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the specification of which (CHECK applicable BOX(ES))  
X A. ☒ is attached hereto.  
BOX(ES) → B. ☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_  
→ C. ☒ was filed as PCT International Application No. PCT/GB99/02652 on August 12, 1999  
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PRIOR FOREIGN APPLICATION(S)

Number	Country	Day/MONTH/Year Filed	Date first Laid-open or Published	Date Patented or Granted	Priority NOT Claimed
9817707.4	Great Britain	13 AUG 1998			

If more prior foreign applications, X box at bottom and continue on attached page.

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PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)

Application No. (series code/serial no.)	Day/MONTH/Year Filed	Status	Priority NOT Claimed
PCT/GB99/02652	12 AUG 1999	pending, abandoned, patented pending	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, telephone number (202) 861-3000 (to whom all communications are to be directed), and persons of that firm who are associated with USPTO Customer No. 909 (see below label) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete from that Customer No. names of persons no longer with their firm, to add new persons of their Firm to that Customer No., and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above Firm and/or an attorney of that Firm in writing to the contrary.



00909

(1) INVENTOR'S SIGNATURE:

*Rupert George Fray*

Date:

*27th February 2001*

Name	Rupert	George	FRAY
First	Middle Initial	Family Name	
Residence	Loughborough	Great Britain	Great Britain
City	State/Foreign Country	Country of Citizenship	
Mailing Address	Division of Plant Science, School of Biological Sciences, University of Nottingham, Sutton Bonington Campus, Loughborough		
(include Zip Code)	LE12 5RD		

(2) INVENTOR'S SIGNATURE:

*John Peter Throup*

Date:

*19th June, 2001*

Name	John	Peter	THROUP
First	Middle Initial	Family Name	
Residence	Collegeville	PA	Great Britain
City	State/Foreign Country	Country of Citizenship	
Mailing Address	Smith Kline Beecham, Pharmaceuticals R&D, UP1345 South Collegeville Road., PO Box 5089, Collegeville, PA, USA		
(include Zip Code)	19426		

☒ FOR ADDITIONAL INVENTORS see attached page.

☐ See additional foreign priorities on attached page (incorporated herein by reference).

Atty. Dkt. No. P

(M#)

## DECLARATION AND POWER OF ATTORNEY

(continued)

## ADDITIONAL INVENTORS:

(3) INVENTOR'S SIGNATURE:

*Andrew Laine*

Date: 14 JUNE 2001

Andrew		David	WALLACE
First	Middle Initial	Family Name	
Residence	Bedfordshire	Great Britain	Great Britain
City	State/Foreign Country		Country of Citizenship
Mailing Address	Unilever Research, Colworth Laboratory, Colworth House, Sharnbrook, Bedfordshire		
(include Zip Code)	MK44 1LQ		

(4) INVENTOR'S SIGNATURE:

*Donald Grierson*

Date: 27 February 2001

Donald		GRIERSON	
First	Middle Initial	Family Name	
Residence	Loughborough	Great Britain	Great Britain
City	State/Foreign Country		Country of Citizenship
Mailing Address	Division of Plant Science, School of Biological Sciences, University of Nottingham, Sutton Bonington Campus, Loughborough		
(include Zip Code)	LE12 5RD		

(5) INVENTOR'S SIGNATURE:

*Gordon S.A.B. Stewart*

Date: 10 May 2001

Gordon		S.A.B.	STEWART (deceased)
First	Middle Initial	Family Name	
Residence	Nottingham	Great Britain	Great Britain
City	State/Foreign Country		Country of Citizenship
Mailing Address	School of Pharmaceutical Sciences, University of Nottingham, University Park, Nottingham, Great Britain		
(include Zip Code)			

(6) INVENTOR'S SIGNATURE:

Date:

First	Middle Initial	Family Name	
Residence			
City	State/Foreign Country		Country of Citizenship
Mailing Address			
(include Zip Code)			

(7) INVENTOR'S SIGNATURE:

Date:

First	Middle Initial	Family Name	
Residence			
City	State/Foreign Country		Country of Citizenship
Mailing Address			
(include Zip Code)			

(8) INVENTOR'S SIGNATURE:

Date:

First	Middle Initial	Family Name	
Residence			
City	State/Foreign Country		Country of Citizenship
Mailing Address			
(include Zip Code)			

(9) INVENTOR'S SIGNATURE:

Date:

First	Middle Initial	Family Name	
Residence			
City	State/Foreign Country		Country of Citizenship
Mailing Address			
(include Zip Code)			

## DECLARATION AND POWER OF ATTORNEY

(continued)

## ADDITIONAL INVENTORS:

## (3) INVENTOR'S SIGNATURE:

Date:

Andrew	David	WALLACE
First	Middle Initial	Family Name
Residence	Bedfordshire	Great Britain
City	State/Foreign Country	Country of Citizenship
Mailing Address	Unilever Research, Colworth Laboratory, Colworth House, Sharnbrook, Bedfordshire	
(include Zip Code)	MK44 1LQ	

## (4) INVENTOR'S SIGNATURE:

Date: 27 February 2001

Donald		GRIERSON
First	Middle Initial	Family Name
Residence	Loughborough	Great Britain
City	State/Foreign Country	Country of Citizenship
Mailing Address	Division of Plant Science, School of Biological Sciences, University of Nottingham, Sutton Bonington Campus, Loughborough	
(include Zip Code)	LE12 5RD	

## (5) INVENTOR'S SIGNATURE:

Date: 10 May 2001

Gordon	S.A.B.	STEWART (deceased)
First	Middle Initial	Family Name
Residence	Nottingham	Great Britain
City	State/Foreign Country	Country of Citizenship
Mailing Address	School of Pharmaceutical Sciences, University of Nottingham, University Park, Nottingham, Great Britain	
(include Zip Code)		

## (6) INVENTOR'S SIGNATURE:

Date:

First	Middle Initial	Family Name
Residence		
City	State/Foreign Country	Country of Citizenship
Mailing Address		
(include Zip Code)		

## (7) INVENTOR'S SIGNATURE:

Date:

First	Middle Initial	Family Name
Residence		
City	State/Foreign Country	Country of Citizenship
Mailing Address		
(include Zip Code)		

## (8) INVENTOR'S SIGNATURE:

Date:

First	Middle Initial	Family Name
Residence		
City	State/Foreign Country	Country of Citizenship
Mailing Address		
(include Zip Code)		

## (9) INVENTOR'S SIGNATURE:

Date:

First	Middle Initial	Family Name
Residence		
City	State/Foreign Country	Country of Citizenship
Mailing Address		
(include Zip Code)		

**FOR UTILITY/DESIGN  
CIP/PLANT  
ORIGINAL/SUBSTITUTE  
DECLARATIONS**

**RULE 63 (37 C.F.R. 1.63)  
DECLARATION AND POWER OF ATTORNEY  
⇒⇒⇒ BY LEGAL REPRESENTATIVE ⇒⇒⇒  
FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK  
OFFICE**

**PW  
FORM**

As a below named legal representative, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe the below listed to be the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
**EXPRESSION OF BACTERIAL SIGNAL MOLECULES IN PLANTS**

the specification of which (CHECK applicable BOX(ES))



00909

⇒ ☒ is attached hereto.

⇒ ☐ was filed on \_\_\_\_\_

as U.S. Application No. 0 / \_\_\_\_\_

⇒ ☒ was filed as PCT international Application No. PCT/ GB99/02652 on August 12, 1999

⇒⇒ and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

Inventor(s) (NOTE: For deceased inventor(s), state last residence and country of citizenship)

1. Name: Rupert George FRAY Great Britain  
First Middle Initial Family Name Country of Citizenship  
Residence (City) Loughborough (State/Foreign Country) Great Britain

2. Name: John Peter THROUP Great Britain  
First Middle Initial Family Name Country of Citizenship  
Residence (City) Collegeville (State/Foreign Country) PA, USA

3. Name: Andrew David WALLACE Great Britain  
First Middle Initial Family Name Country of Citizenship  
Residence (City) Bedfordshire (State/Foreign Country) Great Britain

4. Name: Donald  GRIERSON Great Britain  
First Middle Initial Family Name Country of Citizenship  
Residence (City) Loughborough (State/Foreign Country) Great Briain

5 Name: Gordon S.A.B. STEWART (deceased) Great Britain  
First Middle Initial Family Name Country of Citizenship  
Residence (City) Nottingham (State/Foreign Country) Great Briain

(FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet (PAT-116-2) for same information for each re name, citizenship, and residence.)

Of the foregoing inventor(s) Gordon S.A.B. STEWART is deceased but was late a citizen and resident as indicated and I am  
X one) ⇒⇒ ☒ administrator/administratrix of his/her estate, ☐ his/her heir, ☐ executor/executrix of his/her last will and testament  
BOX) ⇒⇒ ☐ his/her guardian ☐ his/her conservator/conservatrix ☐ other

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. 1.56(a). I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me, the listed inventive entity, or assignee thereof disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

**PRIOR FOREIGN APPLICATION(S)**

Number Country Day/MONTH/Year Filed  
9817707.4 Great Britain 13 AUG 1998

**Priority Claimed**

Yes No  
X

I hereby claim the benefit under 35 U.S.C. 119(a) of all United States applications listed below and PCT international applications listed above or below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior applications in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

## PRIOR U.S. OR PCT APPLICATION(S)

Application No. (series code/serial no.)  
PCT/GB99/02652

Day/MONTH/Year Filed  
12 AUG 1999

Status  
pending, abandoned, patented  
pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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00909

## 1. LEGAL REPRESENTATIVE'S SIGNATURE:

Lesley A Stewart

Date 10 May 2001

5-11 Name (typed)

LESLEY

A

STEWART

UNITED KINGDOM (GREAT BRITAIN)

Country of Citizenship

Residence (City)

LOUGHBOROUGH

(State/Foreign Country)

UNITED KINGDOM (GREAT BRITAIN)

Post Office Address (Include Zip Code)

CBX

## 2. LEGAL REPRESENTATIVE'S SIGNATURE:

Date

Name (typed)

First Name

Middle Initial

Family

Country of Citizenship

Residence (City)

(State/Foreign Country)

Post Office Address (Include Zip Code)

## 3. LEGAL REPRESENTATIVE'S SIGNATURE:

Date

Name (typed)

First Name

Middle Initial

Family

Country of Citizenship

Residence (City)

(State/Foreign Country)

Post Office Address (Include Zip Code)

## 4. LEGAL REPRESENTATIVE'S SIGNATURE:

Date

Name (typed)

First Name

Middle Initial

Family

Country of Citizenship

Residence (City)

(State/Foreign Country)

Post Office Address (Include Zip Code)